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10269-13CON
(HSX/001 CON1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Timothy Maxwell Keiser, et al.
Application No. : 09/465,607 Confirmation No. : 9080
Filed : December 17, 1999
For : COMPUTER-IMPLEMENTED SECURITIES
TRADING SYSTEM WITH A VIRTUAL
SPECIALIST FUNCTION
Group Art Unit : 3628
Examiner : Graham, Clement B.

New York, New York 10020
June 1, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants hereby make the following documents of record in
the above-identified patent application:

Other Documents

FILM FINANCES, INC. The World Leader in Completion
Guarantees. October, 1999 Internet print-out

FILM FINANCES, INC. The World Leader in Completion
Guarantees. Sample Completion Guaranty; August, 2000
Internet print-out

Copies of the documents are provided.

This Statement is being submitted after the mailing of a First Office Action on the merits. Accordingly, applicants have enclosed a check in the amount of \$180.00 in payment of the fee for submission of an Information Disclosure Statement in accordance with 37 C.F.R. § 1.97 (c) (2). The Director is hereby authorized to charge payment of any additional fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075.

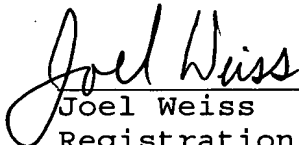
It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application.

Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

A duplicate copy of this Supplemental Information Disclosure Statement is enclosed herewith.

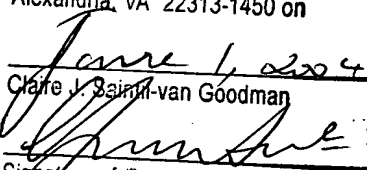
Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

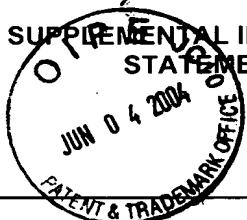

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I hereby certify that this
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Alexandria, VA 22313-1450 on


Claire J. Saint-van Goodman

Signature of Person Signing

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT 	ATTY. DOCKET NO. 10269-13CON (HSX/001CON1)	APPLN. NO. 09/465,607
	APPLICANTS Timothy Maxwell Keiser, et al.	CONF. NO. 9080
	FILING DATE December 17, 1999	GROUP ART UNIT 3628

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	FILM FINANCES, INC. The World Leader in Completion Guarantees. October, 1999 Internet print-out
	FILM FINANCES, INC. The World Leader in Completion Guarantees. Sample Completion Guaranty; August, 2000 Internet print-out

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.